# ARITHMETIC AND ZARISKI-DENSE SUBGROUPS:

weak commensurability, eigenvalue rigidity, and applications to locally symmetric spaces

Andrei S. Rapinchuk University of Virginia

KIAS (Seoul) April, 2019

- f 1 Eigenvalue rigidity and hearing the shape of a drum
  - Classical vs. Eigenvalue Rigidity
- 2 Hearing the Shape
  - 1-dimensional case
  - Flat tori of dimension > 1
  - Weyl's Law and its Consequences
- 3 Locally symmetric spaces
  - Laplace-Beltrami operator
  - Isospectral non-isometric manifolds
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# Classical rigidity

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field of definition & ambient algebraic group over this field.

these may, for example, be free groups.

• Structural approach to rigidity does **not** extend to arbitrary Zariski-dense subgroups

as

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- We call this phenomenon eigenvalue rigidity.

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• Why do we care about eigenvalues?

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$$\lambda_1^{a_1} \cdots \lambda_{n_1}^{a_{n_1}} = \mu_1^{b_1} \cdots \mu_{n_2}^{b_{n_2}} \neq 1.$$

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Example. Let

$$A = \begin{pmatrix} 12 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1/24 \end{pmatrix} , B = \begin{pmatrix} 4 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 1/12 \end{pmatrix} \in SL_3(\mathbb{C}).$$

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Then A and B are weakly commensurable because

$$\lambda_1 = 12 = 4 \cdot 3 = \mu_1 \cdot \mu_2$$
 (or  $\lambda_1 = \mu_3^{-1}$ ).

**However**, no powers  $A^m$  and  $B^n$   $(m, n \neq 0)$  are *conjugate*,

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• **Reason:** these subgroups contain special elements, called *generic*.

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#### CAN ONE HEAR THE SHAPE OF A DRUM?

MARK KAC, The Rockefeller University, New York

To George Eugene Uhlenbeck on the occasion of his sixty-fifth birthday

"La Physique ne nous donne pas seulement l'occasion de résoudre des problèmes . . . , elle nous fait presentir la solution." H. POINCARÉ.

Before I explain the title and introduce the theme of the lecture I should like to state that my presentation will be more in the nature of a leisurely excursion than of an organized tour. It will not be my purpose to reach a specified destination at a scheduled time. Rather I should like to allow myself on many cocasions the luxury of stopping and looking around. So much effort is being spent on streamlining mathematics and in rendering it more efficient, that a solitary transgression against the trend could perhaps be forgiven.



1. And now to the theme and the title.

It has been known for well over a century that if a membrane  $\Omega$ , held fixed along its boundary  $\Gamma$  (see Fig. 1), is set in motion its displacement (in the direction perpendicular to its original plane)

$$F(x, y; t) = F(\vec{\rho}; t)$$

obeys the wave equation

$$\frac{\partial^2 F}{\partial t^2} = c^2 \nabla^2 F$$
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where c is a certain constant depending on the physical properties of the membrane and on the tension under which the membrane is held. I shall choose units to make  $c^2 = \frac{1}{a}$ .

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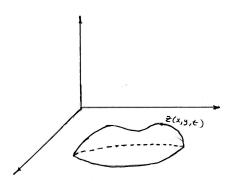
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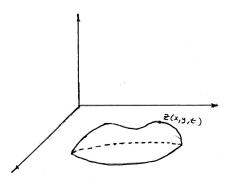
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- Consider a *membrane* in *xy*-plane attached along boundary;
- Make it vibrate;
- Let z(x, y, t) denote displacement of (x, y) at time t:

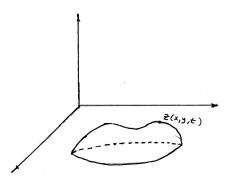
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(For simplicity, we take c = 1 in the sequel.)

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(then  $\omega$  is one of overtones (or harmonics) of membrane.)

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Thus, harmonics in sound produced by membrane have to do with

eigenvalues of Laplacian.

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WHY DO WE EXPECT TO BE ABLE TO "HEAR THE SHAPE"?

- - Classical vs. Eigenvalue Rigidity
- Hearing the Shape
  - 1-dimensional case
  - Flat tori of dimension > 1
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(Both conditions result in same eigenvalues.)

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- The sequence  $\{\lambda_n\}$  determines  $\ell$ .
- So, one can hear shape of circumference (or string) (even if one misses a couple of low overtones).

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This spectral data can be packaged differently:

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**Recall** that  $L^* := \{ \overrightarrow{x} \in \mathbb{R}^d \mid \overrightarrow{x} \cdot \overrightarrow{y} \in \mathbb{Z} \text{ for all } \overrightarrow{y} \in L \}.$ 

**So,** two tori  $\mathbb{R}^{d_1}/L_1$  and  $R^{d_2}/L_2$ :

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Can see strong arithmetic connection foreshadowing the use of arithmetic groups.

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(In fact, there are infinite families of such tori having same dimension but pairwise different volumes.)

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## Definition.

- Laplace spectrum = set of eigenvalues of Laplacian with multiplicities (assuming that these are finite)
- Two geometric objects are isospectral if they have same Laplace spectra.

- 1 Eigenvalue rigidity and hearing the shape of a drum
  - Classical vs. Eigenvalue Rigidity
- 2 Hearing the Shape
  - 1-dimensional case
  - Flat tori of dimension > 1
  - Weyl's Law and its Consequences
- 3 Locally symmetric spaces
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  - Isospectral non-isometric manifolds
  - Our results

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Then

$$N(\lambda) = \frac{\operatorname{vol}(M)}{(4\pi)^{d/2} \Gamma(d/2+1)} \lambda^d + o(\lambda^d)$$

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**So,** if  $\mathbb{R}^{d_1}/L_1$  and  $\mathbb{R}^{d_2}/L_2$  are isospectral, **then:** 

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**So,** if  $\mathbb{R}^{d_1}/L_1$  and  $\mathbb{R}^{d_2}/L_2$  are isospectral, **then:** 

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- M. KNESER: *Finiteness* holds for <u>any</u> lattice (not necessarily integral-valued).

#### EIGENVALUES OF THE LAPLACE OPERATOR ON CERTAIN MANIFOLDS

By J. MILNOR PRINCESON UNIVERSITY

Communicated February 6, 1964

To every compact Riemannian manifold M there corresponds the sequence 0 = $\lambda_1 \leq \lambda_2 \leq \lambda_3 \leq \dots$  of eigenvalues for the Laplace operator on M. It is not known just how much information about M can be extracted from this sequence. This note will show that the sequence does not characterize M completely, by exhibiting two 16-dimensional toruses which are distinct as Riemannian manifolds but have the same sequence of eigenvalues.

By a flat torus is meant a Riemannian quotient manifold of the form  $R^a/L$ , where L is a lattice (= discrete additive subgroup) of rank n. Let L\* denote the dual lattice, consisting of all  $y \in \mathbb{R}^n$  such that  $x \cdot y$  is an integer for all  $x \in L$ . Then each  $y \in L^*$  determines an eigenfunction  $f(x) = \exp(2\pi ix \cdot y)$  for the Laplace operator on  $R^*/L$ . The corresponding eigenvalue  $\lambda$  is equal to  $(2\pi)^2y\cdot y$ . Hence, the number of eigenvalues less than or equal to  $(2\pi r)^2$  is equal to the number of points of  $L^*$ lying within a ball of radius r about the origin,

According to Witt<sup>2</sup> there exist two self-dual lattices  $L_1$ ,  $L_2 \subset R^{14}$  which are distinct, in the sense that no rotation of R16 carries L1 to L2, such that each ball about the origin contains exactly as many points of  $L_1$  as of  $L_2$ . It follows that the Riemannian manifolds R<sup>16</sup>/L<sub>1</sub> and R<sup>16</sup>/L<sub>2</sub> are not isometric, but do have the same

sequence of eigenvalues. In an attempt to distinguish R16/L1 from R16/L2 one might consider the eigenvalues of the Hodge-Laplace operator  $\Delta = d\delta + \delta d$ , applied to the space of differential p-forms. However, both manifolds are flat and parallelizable, so the identity

$$\Delta(f dx_i \wedge ... \wedge dx_i) = (\Delta f) dx_i \wedge ... \wedge dx_i$$

shows that one obtains simply the old eigenvalues, each repeated (16) times.

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## $\begin{array}{c} EIGENVALUES~OF~THE~LAPLACE~OPERATOR\\ ON~CERTAIN~MANIFOLDS \end{array}$

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In an attempt to distinguish  $R^{16}/L_1$  from  $R^{16}/L_2$  one might consider the eigenvalues of the Hodge-Laplace operator  $\Delta = d\delta + 2d_1$  applied to the space of differential p-forms. However, both manifolds are flat and parallelizable, so the identity

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In 1964, MILNOR re-discovered Witt's paper (1941) containing two noniquivalent unimodular integral quadratic forms dim = 16 with same number of representations of each integer  $\Rightarrow$ 

• non-isometric isospectral 16-dim tori.

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## $\begin{array}{c} EIGENVALUES\ OF\ THE\ LAPLACE\ OPERATOR\\ ON\ CERTAIN\ MANIFOLDS \end{array}$

By J. Milnor princeton university

Communicated February 6, 1964

To every compact Riemannian manifold M there corresponds the sequence 0 -  $y_0 \leq \chi \leq \chi_0 \leq \chi_0$  of eigenvalues for the Laplace operator of M. It is not known just how much information about M can be extracted from this sequence.\(^1\) This not will show that the sequence does not characterize M completely, by exhibiting two 16-dimensional toruses which are distinct as Riemannian manifolds but have the same sequence of eigenvalues.

By a flat force is meant a Riemannian quotient manifold of the form  $R^p/I_n$ , where L is a lattice (-L identeed additive alongoup) of rank n. Let  $L^p$  denote the dual lattice, consisting of all  $y \in R^p$  such that xy is an integer for all  $x \in L$ . Then each  $y \in L^p$  determines an eigenfunction  $(f(x))^p = \exp(x^p)^p = \exp(x^p)$  for the Laplace operator on  $R^p/L$ . The corresponding eigenvalue L is equal to  $(2\pi^p)^p y$ . Here, the number  $L^p/L$  is the contraction of  $L^p/L$  is the contraction  $L^p/L$  is  $L^p/L$  in the contraction of  $L^p/L$  in  $L^p/L$  is  $L^p/L$  in  $L^p/L$ 

According to Witt' there exist two self-dual lattices  $I_D$ ,  $I_C \subset R^n$  which are distinct, in the sense that no rotation of  $R^{2a}$  carries  $I_D$  to  $I_D$ , such that each hall about the origin contains exactly as many points of  $I_D$  as of  $I_D$ . It follows that the Riemannian manifolds  $R^{2a}I_D$  and  $R^{2a}I_D$  are not isometric, but do have the same sequence of eigenvalues.

În an attempt to distinguish  $R^{16}/L_1$  from  $R^{16}/L_1$  one might consider the eigenvalues of the Hodge-Laplace operator  $\Delta = d\hat{a} + 2d_1$  applied to the space of differential p-forms. However, both manifolds are flat and parallelizable, so the identity

$$\Delta(f dx_i \wedge ... \wedge dx_i) = (\Delta f) dx_i \wedge ... \wedge dx_i$$

shows that one obtains simply the old eigenvalues, each repeated  $\binom{16}{p}$  times.

\*Witt, E., "Eine Identität zwischen Modulformen zweiten Grades," Abb. Math. Sew. Univ. Hamburg, 14, 323–337 (1941). See p. 324. I am indebted to K. Ramanathan for pointing out this reference.

In 1964, MILNOR re-discovered Witt's paper (1941) containing two noniquivalent unimodular integral quadratic forms dim = 16 with same number of representations of each integer  $\Rightarrow$ 

- non-isometric isospectral 16-dim tori.
- $\dim = 12$  Kneser
- dim = 8 Kitaoka

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$$\Delta(u) = \frac{1}{\sqrt{\det g}} \sum_{i,j=1}^{d} \frac{\partial}{\partial x_i} \left( g^{ij} \sqrt{\det g} \frac{\partial u}{\partial x_j} \right), \tag{L}$$

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## Example.

For upper-half plane  $\mathbb{H} = \{x + iy \mid y > 0\}$ ,  $ds^2 = y^{-2}(dx^2 + dy^2)$ , we have

$$\Delta_{\mathbb{H}} = y^{-2} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right).$$

Andrei Rapinchuk (University of Virginia)

# Properties of $\Delta$

 $\bullet$   $\Delta$  is 2nd order linear differential operator that commutes with isometries:

 $\bullet$   $\Delta$  is self-adjoint and *negative* definite

• eigenvalues have finite multiplicities and form a discrete

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However, eigenvalues are extremely hard to compute!

Let  $\Gamma = \operatorname{SL}_2(\mathbb{Z})$  and  $\Gamma(m)$  be congruence subgroup mod m. Then all nonzero eigenvalues of Laplacian on  $\mathbb{H}/\Gamma(m)$  are  $\geqslant 1/4$ .

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We will describe techniques to bypass explicit computations!

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Isospectral non-isometric manifolds

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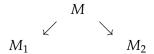
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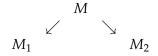
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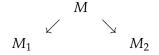
BOTH constructions result in commensurable manifolds.

Two Riemannian manifolds  $M_1$  and  $M_2$  are commensurable



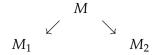


"Right question": Are two isospectral (compact Riemannian)
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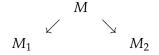
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Previously, results were available only for hyperbolic 2- and 3-manifolds. (A. Reid et al.)

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Now, let  $G_1$  and  $G_2$  be absolutely almost simple  $\mathbb{R}$ -groups,  $\Gamma_i \subset \mathcal{G}_i = G_i(\mathbb{R})$  be a discrete torsion-free subgroup,  $\mathfrak{X}_{\Gamma_i}$  - corresponding locally symmetric space, i=1,2.

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- (3) If at least one of the groups  $\Gamma_1$  or  $\Gamma_2$  is arithmetic, then unless G is of type  $A_n$  (n > 1),  $D_{2n+1}$  (n > 1) or  $E_6$ , spaces  $\mathfrak{X}_{\Gamma_1}$  and  $\mathfrak{X}_{\Gamma_2}$  are commensurable.

## Geometric applications

#### Corollary

Let  $M_1$  and  $M_2$  be arithmetically defined hyperbolic manifolds of dimension  $d \not\equiv 1 \pmod{4}$ . If  $M_1$  and  $M_2$  are isospectral then they commensurable.

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Our techniques apply to locally symmetric spaces that share a different set of geometric data, viz. length spectrum.

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## $Q \cdot L(M)$ is rational length spectrum.

It has *less* geometric content, **but** may be *easier* to figure out, and it is invariant under passing to a commensurable manifold.

compact  $\mathfrak{X}_{\Gamma_1}$  and  $\mathfrak{X}_{\Gamma_2}$  isospectral  $\Rightarrow L(M_1) = L(M_2)$ 

compact 
$$\mathfrak{X}_{\Gamma_1}$$
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Length-commensurability is translated into weak commensurability of fundamental groups.