

# AZUMAYA ALGEBRAS (GG SEMINAR 2021 SPRING)

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This note is based on my  $4\frac{1}{2}$  talks on March-May 2021 in the Galois-Grothendieck seminar in the University of Virginia organized by Prof. Andrei Rapinchuk. The aim of this seminar in the academic year 2020-2021 is to study Brauer groups. In this note, we are using several standard references as in the end of this file.

In this note,  $R$  will denote a commutative ring with 1.

Our goal is to generalize the notion of **Central Simple Algebras** (CSA) to similar objects over a commutative ring  $R$ . The obvious formulation “Central plus simple  $R$ -algebra” is not a good candidate. Because the center of a simple ring is necessarily a field, so this will not give us anything new. We need other formulation.

## 1. DEFINITION OF AZUMAYA ALGEBRAS

We will form a group out of the set of equivalence classes of Azumaya algebras over a fixed commutative ring  $R$ .

Let  $A$  be an  $R$ -algebra. Let  $\epsilon: R \rightarrow A$  be the  $R$ -algebra structure map of  $A$ .

**Definition 1.1.** Let  $A^e := A \otimes_R A^o$ , where  $A^o$  denotes the opposite algebra of  $A$ . The  $R$ -algebra  $A^e$  is called the **enveloping algebra** of  $A$ .

There is a natural  $R$ -algebra homomorphism  $\psi: A^e \rightarrow \text{End}_R(A)$  defined by  $a \otimes a^o \mapsto (x \mapsto axa)$  and extend linearly. This map is called the **enveloping homomorphism** of  $A$ . Here  $\text{End}_R(A)$  stands for the algebra consisting of all  $R$ -endomorphisms as  $R$ -modules (NOT  $R$ -algebras).

**Definition 1.2.** An  $R$ -module  $A$  is called **faithfully projective** if it is finitely generated, projective and faithful as an  $R$ -module. An  $R$ -algebra  $A$  is called an **Azumaya Algebra** if

- $A$  is a faithfully projective  $R$ -module.
- The map  $\psi: A^e \rightarrow \text{End}_R(A)$  defined above is an isomorphism.

**Example 1.3.** If  $R = k$  be a field, then a finitely generated  $k$ -algebra  $A$  is Azumaya if and only if  $A$  is central simple.

$\Leftarrow$ : Suppose  $A$  is central simple over  $k$ . The algebra  $A$  is a finite dimensional vector space over  $k$ , hence free (thus projective) and faithful. Since  $A, A^o$  are both central simple, so is  $A \otimes_k A^o$  (recall that we defined the group law of the Brauer group  $\text{Br}(k)$  in such way). Thus  $\psi$  is injective. Since  $\dim_k(A \otimes_k A^o) = (\dim_k A)^2 = \dim_k \text{End}_k(A)$ ,  $\psi$  is also surjective. Therefore  $\psi$  is an isomorphism.

$\Rightarrow$ : Let  $A$  be an Azumaya  $k$ -algebra. In this case, a projective module is always free. Thus  $A \simeq k^n$  as a  $k$ -module for some  $n \in \mathbb{N}$ . So

$$A^e \simeq \text{End}_k(A) \simeq M_n(k),$$

which is a central simple algebra. Recall that  $A_1 \otimes_k A_2$  is a Central Simple Algebra if and only if both  $A_1$  and  $A_2$  are central simple. We conclude the argument.

As an equivalent description, Auslander and Goldman defined ‘‘Azumaya algebra’’ to be both central and separable in their seminal paper in 1960 [1]. See also the book by F. DeMeyer and E. Ingraham [2]. Being technical itself (*separable* means  $A$  is a projective  $A^e$ -module), separable algebras will not be discussed in detail here, but we want to emphasize one important property.

**Proposition 1.4.** *Azumaya algebras are central, i.e.  $Z(A) = R$ . Here we identify  $R$  with the image  $R \cdot 1$  of the algebra-structure map  $\epsilon: R \rightarrow A$ . (Since  $A$  is faithful, this  $\epsilon$  is injective.)*

*Proof.* Consider the **trace ideal** of  $A$  in  $R$  (which is a two-sided ideal)

$$\mathcal{T}_R(A) = \langle f(a); f \in \text{Hom}_R(A, R), a \in A \rangle.$$

Since  $A$  is faithfully projective, there exists a **dual basis**  $\{(f_i, a_i); 1 \leq i \leq n\}$ , i.e. for any  $a \in A$ ,

$$a = \sum_{i=1}^n f_i(a) a_i.$$

This implies that  $\mathcal{T}_R(A)A = A$ , by Nakayama’s lemma,  $R = \mathcal{T}_R(A) + \text{Ann}_R(A) = \mathcal{T}_R(A)$ . In other words, the trace ideal is all of  $R$  (this means  $A$  is a **generator (progenerator)** over  $R$  in the context of Morita’s theorem).

(Remark: In fact, the trace ideal here is always principal.)

**Claim.**  $R = R \cdot 1$  is an  $R$ -module direct summand of  $A$ .

In order to verify the existence of a left inverse for  $\epsilon$ , it suffices to show that

$$\text{Hom}_R(A, R) \rightarrow \text{Hom}_R(R, R), \quad g \mapsto g \circ \epsilon$$

is onto. Let  $\mathfrak{m}$  be any maximal ideal of  $R$ , then  $R/\mathfrak{m} \otimes_R A = A/\mathfrak{m}A$  is a progenerator of the field  $R/\mathfrak{m}$ . In other words,  $A/\mathfrak{m}A$  is a **non-zero** finite dimensional vector space over  $R/\mathfrak{m}$ .

Since  $0 \rightarrow R/\mathfrak{m} \rightarrow A/\mathfrak{m}A$  is split exact over  $R/\mathfrak{m}$ , the map

$$\text{Hom}_{R/\mathfrak{m}}(A/\mathfrak{m}A, R/\mathfrak{m}) \rightarrow \text{Hom}_{R/\mathfrak{m}}(R/\mathfrak{m}, R/\mathfrak{m})$$

is onto. Therefore

$$R/\mathfrak{m} \otimes_R \text{Hom}_R(A, R) \rightarrow R/\mathfrak{m} \otimes_R \text{Hom}_R(R, R)$$

is also onto. It remains to apply Lemma 1.5 below to get a section.

Let  $A = R \oplus P$  be such a direct sum decomposition. Then for any  $p_0 \in P \setminus \{0\}$ ,  $p_0 \otimes 1$  and  $1 \otimes p_0$  are different elements in  $A^e \simeq \text{End}_R(A)$ . In fact, by the universal property of the tensor product,

$$\text{Hom}_R(A^e, A) \simeq \text{Bil}_R(A \times A^o, A).$$

So it suffices to find a bilinear map  $\Phi: A \times A^o \rightarrow A$  such that  $\Phi(1, p_0) \neq \Phi(p_0, 1)$ . Recall that any element in  $A$  has a unique expression  $r + p$  where  $r \in R, p \in P$ . Since  $x \mapsto xp_0$  and  $x \mapsto p_0x$  are two different maps, the bilinear function

$$\Phi: (r + p, r' + p') \mapsto p(r' + p')$$

works. □

**Lemma 1.5.** *Let  $M, N$  be  $R$ -modules, with  $N$  finitely generated. Then for  $f \in \text{Hom}_R(M, N)$ ,  $f$  is onto if and only if for any maximal ideal  $\mathfrak{m}$  of  $R$ , the induced map  $\bar{f}: M/\mathfrak{m}M \rightarrow N/\mathfrak{m}N$  is onto.*

*Proof.* Let  $C$  be the cokernel of  $f$ . Then for any  $\mathfrak{m} \in \text{Spm}(R)$ , we have  $C = \mathfrak{m}C$ . Using Nakayama's lemma for a maximal ideal  $\mathfrak{m}$  which contains  $\text{Ann}_R(C)$ , we have  $C = 0$ .  $\square$

## 2. CONSTRUCTING BRAUER GROUP OVER A COMMUTATIVE RING

Theorems about endomorphism algebras of projective  $R$ -modules can often be reduced to similar and much simpler questions about endomorphism algebras of free  $R$ -modules. Concerning the latter, the following result is standard whose proof needs nothing but linear algebra.

**Proposition 2.1.** *For a commutative ring  $R$ , we have*

- (1)  $M_m(R) \otimes M_{m'}(R) \simeq M_{mm'}(R)$ .
- (2) *The map  $w: \text{End}_R(R^m) \otimes \text{End}_R(R^{m'}) \rightarrow \text{End}_R(R^m \otimes R^{m'})$  defined by  $f \otimes g \mapsto (x \otimes y \mapsto f(x) \otimes g(y))$  is an isomorphism. Notation: we will not distinguish  $f \otimes g$  and its image under  $w$ .*

The result also holds if we replace free modules by projective modules.

**Proposition 2.2.** *Let  $P, Q$  be finitely generated projective  $R$ -modules, then the map  $w: \text{End}_R(P) \otimes \text{End}_R(Q) \rightarrow \text{End}_R(P \otimes Q)$  defined by  $f \otimes g \mapsto (x \otimes y \mapsto f(x) \otimes g(y))$  is an isomorphism.*

Before giving the proof, recall the following construction which generalizes matrix representation of a linear transformation.

**Lemma 2.3.** *Let  $E_1, E_2, \dots, E_n; F_1, F_2, \dots, F_m$  be  $R$  modules and*

$$\varphi: \bigoplus_{i=1}^n E_i \rightarrow \bigoplus_{j=1}^m F_j$$

*be an  $R$ -module homomorphism. Then  $\varphi$  can be represented by a unique matrix*

$$M(\varphi) = \begin{pmatrix} \varphi_{11} & \varphi_{12} & \cdots & \varphi_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ \varphi_{m1} & \varphi_{m2} & \cdots & \varphi_{mn} \end{pmatrix}$$

*where  $\varphi_{ij} \in \text{Hom}_R(E_i, F_j)$ .*

If  $E_i, F_j$  are both free of rank 1, this is linear algebra since  $\varphi_{ij}$  are giving by  $x \mapsto a_{ij}x, a_{ij} \in R$ .

*Proof.* In fact,  $\varphi_{ij}$  is equal to the composition

$$E_i \rightarrow \bigoplus_{k=1}^n E_k \xrightarrow{\varphi} \bigoplus_{l=1}^m F_l \rightarrow F_j.$$

$\square$

In particular, for  $R$ -modules  $M$  and  $N$ , we have

$$i : \text{End}(M) \rightarrow \text{End}(M \oplus N), f \mapsto (x \mapsto (f(\pi_M(x))),$$

and

$$j : \text{End}(M \oplus N) \rightarrow \text{End}(M), g \mapsto (y \mapsto \pi_M(g(y))).$$

The composition  $j \circ i$  is the identity, so  $i$  is injective and  $j$  is surjective.

*Proof of Proposition 2.2.* Let  $P', Q'$  be finitely generated projective modules such that  $P \oplus P' \simeq R^m$  and  $Q \oplus Q' \simeq R^n$ . The following diagram commutes

$$\begin{array}{ccc} \text{End}_R(P) \otimes \text{End}_R(Q) & \longrightarrow & \text{End}_R(P \otimes_R Q) \\ \downarrow & & \downarrow \\ \text{End}_R(R^m) \otimes \text{End}_R(R^n) & \longrightarrow & \text{End}_R(R^m \otimes R^n) \end{array}$$

The bottom arrow is bijective, so is the top arrow.  $\square$

Just as matrix algebras  $M_l(k)$  represents the neutral element  $[1] \in \text{Br}(k)$ , we expect  $\text{End}_R(P)$  for a faithfully projective  $R$ -module plays a similar role. First, we have to verify that it is an Azumaya algebra itself.

**Proposition 2.4.** *If  $P$  is a faithful projective  $R$ -module then  $\text{End}_R(P)$  is an Azumaya algebra.*

*Proof.* Since  $P$  is finitely generated projective, there is an  $R$ -module  $Q$  such that  $P \oplus Q \simeq R^n$ . Consider homomorphisms

$$\text{End}_R(P) \rightarrow \text{End}_R(P \oplus Q) \rightarrow \text{End}_R(P)$$

whose composition is the identity, we see that  $\text{End}_R(P)$  is finitely generated projective.

If  $r \in \text{Ann}(\text{End}_R(P))$ , then it annihilates the identity map. So  $r = 0$ , which implies the faithfulness  $\text{End}_R(P)$ .

To verify condition (2) in the definition of Azumaya algebra, consider the following commutative diagram.

$$\begin{array}{ccc} \text{End}_R(P) \otimes \text{End}_R(P)^\circ & \xrightarrow{\Psi_P} & \text{End}_R(\text{End}_R(P)) \\ \downarrow & & \downarrow \\ \text{End}_R(P \oplus Q) \otimes \text{End}_R(P \oplus Q)^\circ & \xrightarrow{\Psi_{P \oplus Q}} & \text{End}_R(\text{End}_R(P \oplus Q)) \end{array}$$

Thus it suffices to show that  $\Psi_{P \oplus Q}$  is an isomorphism. Let  $e_1, \dots, e_n$  be the natural  $R$ -basis for  $P \oplus Q \simeq R^n$ , and let  $E_{ij} \in \text{End}_R(R^n)$  such that  $E_{ij}(e_k) = \delta_{ik}e_j$ . Then  $\{E_{ij}; 1 \leq i, j \leq n\}$  is an  $R$ -basis for  $\text{End}_R(R^n)$ , and  $\{E_{ij} \otimes E_{kl}^\circ; 1 \leq i, j, k, l \leq n\}$  is an  $R$ -basis for  $\text{End}_R(R^n) \otimes \text{End}_R(R^n)^\circ$ . We also have, by definition of  $\Psi_{P \oplus Q}$ ,

$$\Psi_{P \oplus Q}(E_{ij} \otimes E_{kl}^\circ)(E_{st}) = E_{ij}E_{st}E_{kl} = \delta_{js}\delta_{tk}E_{il}.$$

Thus  $\Psi_{P \oplus Q}$  maps basis to basis, so it is an isomorphism.  $\square$

The next result tells us that  $\otimes_R$  gives the operation of the Brauer group.

**Proposition 2.5.** *If  $A$  and  $B$  are Azumaya algebras, so is  $A \otimes_R B$ .*

*Proof.* The following diagram is commutative

$$\begin{array}{ccc} (A \otimes B) \otimes (A \otimes B)^o & \xrightarrow{\Psi_{A \otimes B}} & \text{End}(A \otimes B) \\ \uparrow & & \uparrow w \\ (A \otimes A^o) \otimes (B \otimes B^o) & \xrightarrow{\Psi_A \otimes \Psi_B} & \text{End}(A) \otimes \text{End}(B) \end{array}$$

Here  $\psi_A$  (resp.  $\psi_B$ ) denotes the isomorphism coming from the fact  $A$  (resp.  $B$ ) is an azumaya algebra,  $w$  is the isomorphism given by Proposition 2.2. The left side vertical isomorphism comes from the commutativity of the tensor product and the fact that  $(A \otimes B)^o = A^o \otimes B^o$ . This shows that  $\psi_{A \otimes B}$  is an isomorphism.  $\square$

**Definition 2.6.** Let  $A$  and  $B$  be Azumaya algebras over  $R$ . We write  $A \sim B$  if there exists faithfully projective  $R$ -modules  $P$  and  $Q$  such that

$$A \otimes \text{End}(P) \simeq B \otimes \text{End}(Q).$$

This is an equivalence relation. The only thing to check is transitivity, so suppose that  $A \sim B$  and  $B \sim C$  for Azumaya algebras  $A, B$  and  $C$ , then there exist faithfully projective  $R$ -modules  $P, P', Q$  and  $Q'$  such that

$$A \otimes \text{End}(P) \simeq B \otimes \text{End}(Q), \quad B \otimes \text{End}(P') \simeq C \otimes \text{End}(Q').$$

Then

$$A \otimes \text{End}(P \otimes P') \simeq C \otimes \text{End}(Q \otimes Q').$$

**Remark 2.7.** When  $R = k$  is a field, and  $A, B$  are Central Simple Algebras, then the  $\sim$  is exactly the equivalence relation to define  $\text{Br}(k)$ . In fact, if  $[A] = [B] \in \text{Br}(k)$ , then there is a central division algebra  $D$  over  $k$  such that  $A \simeq M_n(D) \simeq D \otimes_k M_n(k)$  and  $B \simeq M_m(D) \simeq D \otimes_k M_m(k)$ . We see that

$$A \otimes_k \text{End}_k(k^m) \simeq A \otimes_k M_m(k) \simeq B \otimes_k M_n(k) \simeq B \otimes_k \text{End}_k(k^n).$$

For another direction, let  $D, D'$  be central division algebras such that  $A \simeq M_n(D) \simeq D \otimes_k M_n(k)$  and  $B \simeq M_m(D') \simeq D' \otimes_k M_m(k)$ . If

$$A \otimes_k \text{End}_k(k^s) \simeq B \otimes_k \text{End}_k(k^t)$$

then  $sn = tm$  and  $D \simeq D'$ .

**Definition 2.8.** Define the Brauer set

$$\text{Br}(R) := \{\text{Azumaya algebras over } R\} / \sim.$$

We denote by  $[A]$  the equivalence class of  $A$  under this  $\sim$ .

Now let us give an abelian group structure of  $\text{Br}(R)$ .

- (1) Group operation is the tensor product. This is well-defined. Suppose  $A_1 \sim A_2$  and  $B_1 \sim B_2$ . Suppose  $A_i \otimes \text{End}(P_i) \simeq B_i \otimes \text{End}(Q_i)$  for  $i = 1, 2$ , then  $(A_1 \otimes B_1) \otimes \text{End}(P_1 \otimes Q_1) \simeq (A_2 \otimes B_2) \otimes \text{End}(P_2 \otimes Q_2)$ .
- (2) Associativity and commutativity come from those properties of  $\otimes$ .
- (3) Identity element is  $[R]$ . For any Azumaya algebra,  $[A][R] = [A \otimes R] = [A]$ . (More generally, for any faithfully projective  $R$ -module  $P$ , we have  $\text{End}(P)$  corresponds to the identity element.)
- (4) Inverse element. For any Azumaya algebra  $A$ , we have  $[A][A^o] = [A \otimes A^o] = [\text{End}(A)] = [1]$ .

The next proposition gives a description of equivalence relation which is more similar to the one in defining Brauer groups over a field.

**Proposition 2.9.** For  $[A], [B] \in \text{Br}(R)$ , we have

- (1)  $[A] = [1]$  if and only if  $A \simeq \text{End}_R(P)$  for some faithfully projective  $R$ -module  $P$ .
- (2)  $[A] = [B]$  if and only if  $A \otimes_R B^\circ \simeq \text{End}_R(Q)$  for some faithfully projective  $R$ -module  $Q$ .

The proof needs Morita's theory.

### 3. FUNCTORIALITY OF $\text{Br}(\cdot)$

Our goal is to show that

$$\text{Br}(\cdot) : \text{CommRing} \longrightarrow \text{AbGp}$$

is a covariant functor. The key ingredient of the demonstration is to understand how Azumaya algebras behave under Base Change. Suppose that  $f : R \rightarrow S$  is a commutative ring homomorphism which makes  $S$  to be an  $R$ -algebra. Then if  $A$  is an  $R$ -algebra,  $A \otimes_R S$  becomes an  $S$ -algebra. An obvious candidate for  $\text{Br}(f)$  is  $[A] \mapsto [A \otimes_R S]$ . We need to show that this is well defined. Most efforts will be spent to verify that  $(A \otimes_R S) \otimes_S (A \otimes_R S)^\circ \rightarrow \text{End}_S(A \otimes_R S)$  is an isomorphism.

**Lemma 3.1.** *If  $A$  is a faithfully projective  $R$ -algebra and  $S$  is a commutative  $R$ -algebra, then  $\text{End}_R(A) \otimes S \simeq \text{End}_S(A \otimes_R S)$ .*

*Proof.* We define an  $S$ -algebra homomorphism

$$\varphi_A : \text{End}_R(A) \otimes S \rightarrow \text{End}_S(A \otimes_R S), \quad f \otimes s \mapsto (a \otimes s' \mapsto f(a) \otimes ss').$$

As  $A$  is faithfully projective, there is an  $R$ -module  $B$  such that  $A \oplus B \simeq R^n$ . We then have the following commutative diagram:

$$\begin{array}{ccc} \text{End}_R(A) \otimes_R S & \xrightarrow{\varphi_A} & \text{End}_S(A \otimes_R S) \\ \downarrow & \uparrow & \downarrow \quad \uparrow \\ \text{End}_R(R^n) \otimes_R S & \xrightarrow{\varphi_{R^n}} & \text{End}_S(R^n \otimes_R S) \end{array}$$

It suffices to show that  $\varphi_{R^n}$  is an isomorphism, i.e. free case. Let  $e_1, \dots, e_n$  be the natural basis for  $R^n$ , then  $e_i \otimes_R 1$  is a natural basis for  $R^n \otimes_R S$ . Take  $E_{ij} \in \text{End}_R(R^n)$  such that  $E_{ij}(e_k) = \delta_{ik}e_j$  so that  $E_{ij}$  is a basis for  $\text{End}_R(R^n)$ . Thus  $E_{ij} \otimes 1 (1 \leq i, j \leq n)$  form a basis for  $\text{End}_R(R^n) \otimes_R S$ . Notice that

$$\varphi_{R^n}(E_{ij} \otimes_R 1) = (e_k \otimes_R 1 \mapsto \delta_{ik}e_j \otimes_R 1),$$

i.e.  $\varphi_{R^n}$  maps a basis to a basis, thus it is an isomorphism.  $\square$

Now we can show that  $\text{Br}(f)$  is well-defined.

**Proposition 3.2.** *If  $A$  is an Azumaya  $R$ -algebra and  $S$  is a commutative  $R$ -algebra, then  $A \otimes_R S$  is an Azumaya  $S$ -algebra.*

*Proof.* In fact, "being faithfully projective" is preserved under base change. Let  $B$  be an  $R$ -module such that  $A \oplus B \simeq R^n$ , then  $(A \otimes_R S) \oplus (B \otimes_R S) \simeq S^n$ , thus  $A \otimes_R S$  is projective. Recall that  $\text{Ann}(M) \cap \text{Ann}(N) = \text{Ann}(M \oplus N)$ , we obtain that  $A \otimes_R S$  is faithful.

(It is important to notice that if  $A$  is faithful, then  $A \otimes_R S$  is not necessarily a faithful  $S$ -module. For example,  $R = \mathbb{Z}$ ,  $A = 2\mathbb{Z}$  and  $S = \mathbb{Z}/2\mathbb{Z}$ .)

Next it suffices to observe the following commutative diagram.

$$\begin{array}{ccc}
(A \otimes_R S) \otimes_S (A \otimes_R S)^o & \xrightarrow{\Psi_{A \otimes_R S}} & \text{End}_S(A \otimes_R S) \\
\downarrow & & \uparrow \\
(A \otimes_R A^o) \otimes_R S & \longrightarrow & \text{End}_R(A) \otimes_R S
\end{array}$$

□

Now, let us prove the functoriality.

**Theorem 3.3.** *We have  $\text{Br}(\cdot)$  is a functor.*

*Proof.* Suppose  $A \sim A'$  as  $R$ -algebras. Then there exists faithfully projective  $R$ -modules  $P, P'$  such that

$$A \otimes_R \text{End}_R(P) \simeq A' \otimes_R \text{End}_R(P').$$

Thus

$$(A \otimes_R S) \otimes_S (\text{End}_R(P) \otimes S) \simeq (A' \otimes_R S) \otimes_S (\text{End}_R(P') \otimes S).$$

This implies that  $A \otimes_R S \sim A' \otimes_R S$ , i.e.  $\text{Br}(f): \text{Br}(R) \rightarrow \text{Br}(S)$  is well-defined. Now we verify that  $\text{Br}(f)$  is a group homomorphism. Suppose  $[A], [B] \in \text{Br}(R)$ , then  $[A][B] = [A \otimes_R B]$ . It remains to observe that

$$(A \otimes_R B) \otimes_R S = (A \otimes_R S) \otimes_S (B \otimes_R S).$$

□

#### 4. QUATERNION ALGEBRAS: FIRST EXAMPLES OF AZUMAYA ALGEBRAS

**Example 4.1.** Let  $\mathbb{H} = \mathbb{R} \oplus \mathbb{R}i \oplus \mathbb{R}j \oplus \mathbb{R}k$  be the usual real quaternion algebra. Then there is no subring  $A$  of  $\mathbb{H}$  such that (1)  $A$  is free of rank four over  $\mathbb{Z}$ , (2)  $A \otimes_{\mathbb{Z}} \mathbb{R} = \mathbb{H}$ , (3)  $A$  is an Azumaya algebra over  $\mathbb{Z}$ . Well, suppose the contrary, then  $A \otimes_{\mathbb{Z}} \mathbb{F}_2 \simeq M_2(\mathbb{F}_2)$ , LHS is commutative, but not RHS, a contradiction. In particular, the following algebras are NOT Azumaya over  $\mathbb{Z}$ .

- $A = \mathbb{Z} \oplus \mathbb{Z}i \oplus \mathbb{Z}j \oplus k = \mathbb{Z}[i, j, k]$ , i.e. the  $\mathbb{Z}$ -algebra of “integer quaternions”;
- $A = \mathbb{Z}[i, j, k, \frac{1+i+j+k}{2}]$  (as an maximal order, a more natural object).

In fact,  $\text{Br}(\mathbb{Z}) \simeq \{0\}$ .

**Example 4.2.** Let  $R$  be a commutative ring in which 2 is invertible, then the algebra of  $R$ -quaternions is an Azumaya algebra. That is  $Q := R \oplus Ri \oplus Rj \oplus Rk$  with  $i^2 = j^2 = k^2 = -1, ij = k = -ji$ . The isomorphism can be obtained explicitly. For example,  $\frac{1}{4}(1 \otimes 1 - i \otimes i - j \otimes j - k \otimes k)$  maps to  $E_{11}$  matrix (under the enveloping homomorphism). Thus the map is surjective, hence bijective due to the easy lemma below.

**Lemma 4.3.** *Let  $M$  be a finitely generated  $R$ -module, then any surjective endomorphism of  $M$  is an automorphism.*

*Proof.* Let  $\theta$  be such a surjection. View  $M$  as an  $R[X]$ -module via  $X \cdot m = \theta(m)$ . Then  $(X)M = M$ . By Nakayama’s lemma, there exists  $p(X)X = Xp(X) \in XR[X]$  such that  $(1 - p(X)X)M = (1 - Xp(X))M = 0$ . So  $p(\theta)$  is an inverse of  $\theta$ .

□

## 5. AZUMAYA ALGEBRAS ARE SEPARABLE

**Definition 5.1.** An  $R$ -algebra  $A$  can be viewed as an  $A^e$ -module via  $(a_1 \otimes a_2^o)a = a_1 a a_2$ . The algebra  $A$  is called **separable** if  $A$  is a projective  $A^e$ -module.

**Theorem 5.2.** *If  $A$  is Azumaya over  $R$ , then  $A$  is separable.*

*Proof.* It suffices to show that  $A$  is a projective  $\text{End}_R(A)$ -module. We have already seen that  $A = R \oplus P$  as  $R$ -modules. Take  $R$ -module homomorphism  $g: A \rightarrow R$  such that  $g(r + p) = r$ . Then the surjection

$$\text{End}_R(A) \rightarrow A, f \mapsto f(1)$$

has an  $\text{End}_R(A)$ -module section, namely

$$a \mapsto (a' \mapsto g(a')a).$$

Thus  $A$  is projective  $\text{End}_R(A)$ -module.  $\square$

**Remark 5.3.** *Up to now we have verified that all Azumaya algebras are central and separable. The converse is also true but the proof is technical, so will be skipped.*

## 6. BRAUER GROUP OF A LOCAL RING

In this section, let  $(R, \mathfrak{m}, k = \bar{R})$  be a commutative Noetherian local ring.

In this situation, the definition of Azumaya Algebras is simpler, i.e. an  $R$ -algebra  $A$  is Azumaya if it is free of finite rank as an  $R$ -module and the enveloping homomorphism is an isomorphism.

When defining the Brauer group, the equivalence relation is just the same as the one of Central Simple Algebras.

**Remark 6.1.** *Azumaya himself only defined the Azumaya algebras over local rings, and Auslander and Goldman generalized this to arbitrary commutative rings.*

**Proposition 6.2** (Skolem-Noether). *Let  $A$  be an Azumaya  $R$ -algebra, then any automorphism of  $A$  is inner, that is, of the form  $a \mapsto u^{-1} a u$  for some unit  $u$  in  $A$ .*

*Proof.* Let  $\varphi: A \rightarrow A$  be such an automorphism. We can realize  $A$  as an  $A^e = A \otimes_R A^o$ -module in two different ways

$$(a_1 \otimes a_2^o)a = a_1 a a_2 \text{ and } (a_1 \otimes_2^o)a = \varphi(a_1) a a_2.$$

We denote the resulting  $A^e$ -modules to be  $A$  and  $A'$  respectively. Both  $\bar{A}': = A' \otimes_R R/\mathfrak{m}$  and  $\bar{A}$  are simple  $\bar{A}^e$ -modules. Since  $\bar{A}^e = \bar{A} \otimes_{R/\mathfrak{m}} \bar{A}^o$  is a finite dimensional simple algebra over  $k = R/\mathfrak{m}$ , there is only one simple  $\bar{A}^e$ -module up to isomorphism.

To see this, let  $\bar{A}^e = M_m(P)$  where  $P$  is a division algebra over  $k$ . Notice that the category of  $\bar{A}^e$ -modules is equivalent to the category of  $P$ -modules via

$$M \mapsto M^{\oplus n} \text{ and } N \mapsto E_{11}N.$$

Also observe that every module over a division algebra is free.

So we have an  $\bar{A}^e$ -module isomorphism

$$\bar{\eta}: \bar{A} \rightarrow \bar{A}'.$$

By separability,  $A$  is a projective  $A^e$ -module. Now the map

$$A \rightarrow \bar{A} \rightarrow \bar{A}'$$



lifts to an  $A^e$ -module homomorphism  $\eta: A \rightarrow A'$ . The surjectivity of  $\bar{\eta}$  implies that  $\eta(A) + \mathfrak{m}A' = A'$ , now Nakayama's lemma tells us  $\eta$  is also surjective. Let  $u = \eta(1)$ . Then for any  $a \in A$ , we have

$$\eta(a) = \eta(a1) = \eta((a \otimes 1)1) = \varphi(a)u,$$

and also

$$\eta(a) = \eta(1a) = \eta((1 \otimes a)1) = ua$$

Thus  $\varphi(a)u = ua$ . It remains to check that  $u$  is a unit, in fact, by the surjectivity of  $\eta$ , there is  $b \in A$  such that  $\eta(b) = 1$ , then  $\varphi(b) = u^{-1}$ .  $\square$

**Corollary 6.3.** *The automorphism group of  $M_n(R)$  (as an  $R$ -algebra) is  $\mathrm{PGL}_n(R) = \mathrm{GL}_n(R)/R^\times$ .*

Next we talk about the existence of a “good splitting” of the Azumaya algebra.

**Theorem 6.4** (Hensel's lemma). *Let  $(R, \mathfrak{m}) = (\mathcal{O}_v, \varpi\mathcal{O}_v)$  or  $(F[[X]], XF[[X]])$ . Let  $f(X) \in R[X]$ , if  $\bar{f} \in k[X]$  factors as  $\bar{f} = g_0\bar{h}_0$  as a product of two monic coprime polynomials. Then there exist  $g, h \in R[X]$ , both monic such that  $f = gh, \bar{g} = g_0, \bar{h} = h_0$*

A local ring  $(R, \mathfrak{m})$  satisfying the above lemma is called **Henselian ring** or **Hensel ring**. It can be shown that if  $R$  is a complete local ring, i.e.

$$R \simeq \hat{R}_{\mathfrak{m}} := \varprojlim_i R/\mathfrak{m}^i,$$

then  $R$  is Henselian.

**Lemma 6.5.** *Let  $R$  be a Henselian ring, then any finite local  $R$ -algebra  $B$  is also Henselian. The same for every non-zero quotient ring  $R/J$ .*

**Proposition 6.6.** *Let  $R$  be a Henselian ring. Then the map  $\mathrm{Br}(R) \rightarrow \mathrm{Br}(k), [A] \mapsto [A \otimes_R k]$  is injective.*

*Proof.* Let  $[A]$  be in the kernel of the above map. Then  $A$  is an Azumaya algebra over  $R$  such that there is an isomorphism  $\bar{A} \rightarrow M_n(k)$ . Let  $\varepsilon \in \bar{A}$  be the unique element which maps to  $E_{11} \in M_n(k)$ . Then  $\varepsilon$  is idempotent, i.e.  $\varepsilon^2 = \varepsilon$ . Let  $\varepsilon = \sum_{i=1}^l a_i \otimes y_i = \sum_{i=1}^l a_i y_i \otimes 1$ . Then  $a := \sum_{i=1}^l a_i y_i \in A$  maps to  $\varepsilon$ . Since  $A$ , as an  $R$ -algebra, is finitely generated. We have  $a$  is integral over  $R$ . Let  $B = R[a]$ .

**Fact.** Let  $A$  be a faithful  $R$ -algebra. Then  $a \in A$  is integral if and only if there is an  $R$ -subalgebra  $B$  of  $A$  containing  $a$  such that  $B$  is a finitely generated  $R$ -module (standard in “Algebraic Number Theory”, the proof uses Cayley-Hamilton's theorem).

(Remark 1: each  $B_i$  is in fact isomorphic to  $B_{\mathfrak{m}_i}$  for some maximal ideal  $\mathfrak{m}_i$  of  $B$ .)

(Remark 2: an alternative definition of Hensel ring is that every finite  $R$ -algebra is a direct product of local rings.)

Now we want to show that  $\varepsilon$  lifts to an idempotent element  $e \in R[a] = B$ . Notice that  $a^2 - a \in \mathfrak{m}B$ , then there is a monic polynomial

$$\eta(X) = X^d + \sum a_j X^j \text{ with } a_j \in \mathfrak{m},$$

such that  $\eta(a^2 - a) = 0$ . Then  $f(X) = \eta(X^2 - X) \in R[X]$  is a monic polynomial such that  $f(X) \equiv X^d(X-1)^d \pmod{\mathfrak{m}}$  and  $f(a) = 0$ . Thus by Hensel property, there exist monic  $g, h \in R[X]$  such that

$$g(X) \equiv X^d \pmod{\mathfrak{m}} \text{ and } h(X) \equiv (X-1)^d \pmod{\mathfrak{m}}$$

Then  $b_1 = g(a) \in B$  is a lift of  $\varepsilon^d = \varepsilon$  and  $b_2 = h(a) \in B$  is a lift of  $(\varepsilon - 1)^d = (-1)^d(1 - \varepsilon)$  and moreover  $b_1 b_2 = 0$ . Thus  $(b_1, b_2)B/\mathfrak{m}B = B/\mathfrak{m}B$  and  $V(b_1, b_2) \subset \text{Spec}(B)$  is disjoint from  $V(\mathfrak{m}B)$ . Since  $\text{Spec}(B) \rightarrow \text{Spec}(R)$  is closed (going-up property), we can find  $r \in R$  which maps to invertible elements in  $R/\mathfrak{m}$  whose image in  $B$  lies in  $(b_1, b_2)$ .

**Easy lemma.** Let  $S/R$  be a ring extension,  $I, J$  be ideal of  $R, S$  respectively. If the closure of the image of  $V(J)$  is disjoint from  $V(I)$ , then  $\exists t \in R$  which maps to  $1 \in R/I$  and to an element in  $J$  in  $S$ .

Let  $I'$  be an ideal in  $R$  such that  $V(I') = \overline{\text{image of } V(J)}$ . Then  $V(I) \cap V(I') = \emptyset$  so  $I + I' = R$ . Write  $1 = t + s$  with  $t \in I$  and  $s \in I'$ . We have  $V(J) \subset V(t')$  where  $t'$  is the image of  $t$  in  $S$ . Hence  $t'^n \in J$  for some  $n$ . Replacing  $t$  by  $t^n$ , we win.

After replacing  $R$  by the localization  $R_r$ , we get  $(b_1, b_2) = B$ . Then  $\text{Spec}(B) = D(b_1) \amalg D(b_2)$ ; disjoint because  $b_1 b_2 = 0$ , covers  $\text{Spec}(B)$  because  $(b_1, b_2) = B$ . Let  $e \in B$  correspond to the open and closed subset  $D(b_1)$ . Since  $b_1$  is a lift of  $\varepsilon$  and  $b_2$  is a lift of  $(-1)^d(1 - \varepsilon)$ , by the uniqueness property (one-to-one correspondence between open and closed subsets and idempotents),  $e$  is a lifting of  $\varepsilon$ .

Then  $A = Ae \oplus A(1-e)$ , in fact, if  $a_1 e = a_2(1-e)$ , then  $a_1 e = a_1 e^2 = a_2(1-e)e = a_2 0 = 0$ . So, the  $R$ -module  $Ae$  and  $A(1-e)$  are finitely generated and free, now we consider the former one. Let

$$\varphi: A \rightarrow \text{End}_R(Ae); a \mapsto (xe \mapsto axe).$$

Then  $\ker(\varphi) \cap R = \{0\}$  since  $Ae$  is free. Let  $A = Ra_1 \oplus \cdots \oplus Ra_l$ , consider the  $\chi_i \in \text{End}(A)$  such that  $\chi_i(a_j) = \delta_{ij}$ , then  $\chi_i$  is given by  $y \mapsto \sum \theta_i^{(k)} y \tilde{\theta}_i^{(k)}$  due to the enveloping isomorphism. For any  $a \in \ker(\varphi)$ , write  $a = \sum r_i a_i$ , then  $r_i = \chi_i(a) = \sum \theta_i^{(k)} a \tilde{\theta}_i^{(k)} \in \ker(\varphi)$ . Thus  $r_i = 0 \Rightarrow \varphi$  is injective.

Now consider the induced map  $\bar{\varphi}: \bar{A} \rightarrow \text{End}_k(\bar{A}\varepsilon)$ . Similar argument shows that  $\bar{\varphi}$  is also injective. Since  $\bar{A}$  and  $\text{End}_k(\bar{A}\varepsilon)$  have the same dimension =  $n^2$ ,  $\bar{\varphi}$  is an isomorphism, thus surjective. Then Nakayama's lemma shows that  $\varphi$  is also surjective, cf. Lemma 1.5.  $\square$

**Corollary 6.7.** *If  $R$  is strictly local, i.e. the residue field  $k$  of  $R$  is separably closed, then  $\text{Br}(R) = \{0\}$ .*

**Remark 6.8.** *Using étale cohomology, we can show that if  $R$  is local Henselian ring, then the map  $\text{Br}(R) \rightarrow \text{Br}(k)$  is an isomorphism.*

Let us recall étale extension. An  $R$ -algebra  $S$  is called **étale** if it is (commutative,) separable, flat and finitely presented as an  $R$ -module. Here finitely presented means  $S = R[X_1, X_2, \dots, X_l]/I$  for a finitely generated ideal  $I$ . If  $R$  is Noetherian, then “finitely presented” above can be replaced by “finitely generated”.

When  $R$  is a field, the description is simpler.

**Recall.** A finite commutative algebra  $L$  over a field  $k$  is called **étale** if one of the following equivalent conditions holds.

- (1)  $L = \prod_{i=1}^r L_i$  where  $L_i/k$  is a finite separable field extension.
- (2)  $[L: k] < \infty$  and  $L \times L \rightarrow k, (x, y) \mapsto \text{Tr}(xy)$  is non-degenerate.
- (3)  $L \otimes_{\overline{K}} \overline{K} \simeq \overline{K}^n$  for some  $n \in \mathbb{N}$ .

**Lemma 6.9.** *If  $(R, \mathfrak{m}, k)$  is Henselian local. Then  $S \mapsto S \otimes_R k$  induces an equivalence of the category of finite étale  $R$ -algebras and the category of finite étale  $k$ -algebras.*

In fact, for any étale  $k$ -algebra  $k'$ , write  $k' = k[X]/(\overline{h}(X))$ . Then  $S = R[X]/(h(X))$  satisfies  $B \otimes_R k = k'$ .

**Proposition 6.10.** *If  $A$  is an Azumaya algebra over a Henselian local ring  $R$ , then there is a finite étale faithfully flat ring homomorphism  $R \rightarrow S$  such that  $A \otimes_R S \simeq M_n(S)$  as  $S$ -algebras.*

This is because of Lemma 6.9 and that the proposition is true for fields. In fact, there is a finite separable extension  $k'/k$  such that  $(A \otimes_R k) \otimes_k k' \simeq M_n(k')$ , i.e.  $[(A \otimes_R k) \otimes_k k'] = [0] \in \text{Br}(k')$ . Now by Lemma 6.9, there exists  $S$  as a finite étale  $R$ -algebra (being local itself) such that  $S \otimes_R k = k'$ . Since  $[A \otimes_R S]$  maps to  $[M_n(k')] = [0]$  under  $\text{Br}(S) \rightarrow \text{Br}(k')$ . By the injectivity of this map,  $A \otimes_R S$  splits.

Thus, every Azumaya algebra over a Henselian local ring has rank  $n^2$  for some  $n$ .

Before we continue, we want to review the **faithfully flat descent**. For a systematic introduction to descent theory, see Knus-Ojanguren's book [5]. In particular, we can find in [5] why the classical Galois descent is a special situation of faithfully flat descent.

**Definition 6.11.** An  $R$ -module  $M$  is faithfully flat if any complex of  $R$ -modules

$$M_1 \rightarrow M_2 \rightarrow M_3$$

is exact if and only if

$$M \otimes_R M_1 \rightarrow M \otimes_R M_2 \rightarrow M \otimes_R M_3$$

is exact. Another equivalent description is  $A$  is flat and for every nonzero  $R$ -module  $N$ ,  $M \otimes_R N$  is nonzero as well.

**Theorem 6.12.** *If  $f: R \rightarrow S$  faithfully flat ring homomorphism, then the **Amitsur Complex**, i.e. the sequence  $C^\bullet(S/R)$  defined by*

$$(AC) \quad 0 \rightarrow R \xrightarrow{f} S \xrightarrow{d^0} S^{\otimes 2} \rightarrow \dots \rightarrow S^{\otimes r} \xrightarrow{d^{r-1}} S^{\otimes(r+1)} \rightarrow \dots$$

is exact (as  $R$ -modules). Here  $d^r = \sum_{i=0}^{r+1} (-1)^i e_i$  and

$$e_i(b_0 \otimes \dots \otimes b_{r-1}) = b_0 \otimes \dots \otimes b_{i-1} \otimes 1 \otimes b_i \otimes \dots \otimes b_{r-1}.$$

If we tensor the above sequence by any  $R$ -module  $M$ , then we still get an exact sequence.

(AC1)

$$0 \rightarrow M \xrightarrow{1 \otimes f} M \otimes_R S \xrightarrow{1 \otimes d^0} M \otimes_R S^{\otimes 2} \rightarrow \dots \rightarrow M \otimes_R S^{\otimes r} \xrightarrow{1 \otimes d^{r-1}} M \otimes_R S^{\otimes (r+1)} \rightarrow \dots$$

*Proof.* Since  $\forall r \otimes s \in \ker(f) \otimes_R S$ , it is equal to  $1 \otimes f(r)s = 1 \otimes 0 = 0$ , thus  $\ker(f) \otimes_R S = 0$ . Since  $S/R$  is faithfully flat,  $\ker(f) = 0$ . Thus  $R$  can be viewed as a subring of  $S$ .

Now the exactness at  $S$ , i.e.  $1 \otimes s = s \otimes 1$  if and only if  $s \in R$ . We proceed in three steps.

**Step 1.** Suppose there is a section, i.e.  $g: S \rightarrow R$  such that  $g \circ f = g|_R = \text{Id}_R$ . Consider the map  $h: = g \otimes \text{Id}_S: S \otimes_R S \rightarrow S$ . Let  $s \in \ker(d^0)$ , then

$$0 = h(0) = h(1 \otimes s - s \otimes 1) = s - g(s),$$

which implies that  $s = g(s) \in R$ .

In general, define a (contracting homotopy) operator  $k^r: S^{\otimes (r+2)} \rightarrow S^{\otimes (r+1)}$  by

$$k^r(x_0 \otimes \dots \otimes x_{r+1}) = g(x_0)x_1 \otimes \dots \otimes x_{r+1}.$$

We can verify by direct computation that  $d^{r-1}k^r + k^r d^r$  is equal to the identity map on  $S^{\otimes (r+1)}$ . Now for any  $y \in \ker(d^r)$ , we have  $y = \text{Id}(y) = d^{r-1}k^r(y) \in \text{Im}(d^{r-1})$ .

**Step 2.** Suppose  $R \rightarrow R'$  is a faithfully flat extension. Then  $S \otimes_R R'$  is also faithfully flat over  $R'$ . Tensoring (AC) by  $\otimes_R R'$  and notice that

$$(S \otimes_R S) \otimes_R R' = (S \otimes_R R') \otimes_{R'} (S \otimes_R R'),$$

we get

$$0 \rightarrow R' \rightarrow S \otimes_R R' \rightarrow (S \otimes_R R') \otimes_{R'} (S \otimes_R R') \rightarrow \dots$$

Since  $R'$  is faithfully flat, we can always replace the pair  $(R, S)$  with  $(R', S \otimes_R R')$ .

**Step 3.** Consider arbitrary  $f: S \rightarrow R$ . We use the previous reduction to  $R' = S$ . So we get faithfully flat extension  $S \rightarrow S \otimes_R S, s \mapsto s \otimes 1$ . We construct a section  $g: S \otimes S \rightarrow S, s \otimes s' \mapsto ss'$ . But this puts us in Case 1, we win.  $\square$

Now we will have a closer look at splitting rings of an Azumaya algebra. Recall that a Central Division Algebra  $D$  over a field  $k$  is always split by a maximal subfield  $P$  of  $D$ , the map is given by

$$D \otimes_k P \simeq \text{End}_P(D) = M_n(P), \quad t \otimes p \mapsto (y \mapsto tpy).$$

Conversely, and field  $P \supset F$  which splits  $D$  with  $[P: k] = \sqrt{\dim_k D} = l$  is isomorphic to a maximal subfield of  $D$ . For a Central simple algebra  $A$  which is not necessary division, we have the following generalization.

**Proposition 6.13.** *Let  $\bar{A}$  be a Central Simple Algebra over a field  $k$  of dimension  $n^2$ , then there exists a commutative  $k$ -subalgebra  $\bar{S} \subset \bar{A}$  such that*

- (1)  $\bar{S}$  is a maximal commutative  $k$ -subalgebra of  $\bar{A}$ .
- (2)  $\bar{S}$  is separable over  $k$  of dimension  $n$ .
- (3)  $\bar{S} = k(\alpha)$  for some  $\alpha \in \bar{A}$ .

- (4)  $\bar{A}$  is a free  $\bar{S}$ -module of rank  $n$ .
- (5)  $\bar{S}$  is a splitting ring for  $\bar{A}$ .

*Proof.* If  $k$  is finite,  $\bar{A} \simeq M_n(k)$ . Let  $P = k[a_0]$  be a Galois extension of degree  $n$  (In fact, if  $k = \mathbb{F}_q$ , then let  $P = \mathbb{F}_{q^n}$ ). Let  $P \rightarrow \text{End}_k(P) = \bar{A}, p \mapsto (x \mapsto px)$  be the left regular representation. Then it maps to a maximal commutative subalgebra  $\bar{S}$  of  $\bar{A}$ .

Let  $k$  be infinite. By Artin-Wedderburn theorem,  $\bar{A} = M_r(D)$  for a unique Central Division Algebra  $D$  over  $k$ . Let  $P = k(u)$  be a maximal subfield of  $D$  where  $u$  is separable over  $k$ . Then  $[P : D] = l = \sqrt{\dim_k D}$ . Since  $k$  is infinite, the set of minimal polynomials of  $au, a \in k^\times$  is an infinite subset of  $k[X]$  (they all have degree  $n$  since  $P = k(au)$ , i.e.  $au$  is a primitive element). So we can find  $r$  irreducible polynomials  $f_i(X) := \text{min.poly.}_k(a_i u)$  such that  $f := f_1 \cdots f_r$  has no repeated roots and  $\deg(f) = rl = n$ . Take  $\alpha = \text{diag}\{a_1 u, \dots, a_r u\} \in M_r(D)$ . Then  $\text{min.poly.}_k(\alpha) = f$ . So  $\bar{S} = k(\alpha)$  is a subalgebra of  $\bar{A}$  with  $\dim_k \bar{S} = n$ .

Since  $f$  has no multiple roots,  $S = k[X]/(f)$  is separable over  $k$ . Thus  $\bar{A}$  is projective as an  $\bar{S}$ -module. Notice that  $P^r \simeq \bar{S}$  via diagonal embedding. Let  $\bar{S}' \supset \bar{S}$  be a commutative  $k$ -subalgebra of  $\bar{A}$ . Then any  $y = (y_{ij}) \in \bar{S}'$  commutes with elements in  $\bar{S}$ . In particular, take  $E_{ii} \in \bar{S}$ .

$$yE_{ii} = E_{ii}y \Rightarrow y_{ij} = 0 \text{ if } i \neq j.$$

We see that  $y = \text{diag}\{y_{11}, \dots, y_{rr}\} \subset D^r$ . Since  $P$  is a maximal subfield of  $D$ , we have  $y_{ii} \in P$ . So  $\bar{S}$  is a maximal commutative subalgebra of  $\bar{A}$ . □

We have the following local ring analogue of Proposition 6.13.

**Theorem 6.14.** *Let  $A$  be an Azumaya  $R$ -algebra of rank  $n^2$ .*

- (1) *Let  $a \in A$ . Let  $S$  be a faithfully flat  $R$ -algebra which splits  $A$ , and let  $\varphi_S: A \otimes_R S \simeq M_n(S)$  be an isomorphism. Then the characteristic polynomial  $ch_a(X)$  of  $\varphi_S(a \otimes 1)$  belongs to  $R[X]$ , is independent of  $S$  and  $ch_a(a) = 0$ . This  $ch_a(X)$  is called the **Cayley-Hamilton polynomial** of  $a$ .*
- (2) *There is a maximal commutative étale subalgebra  $S$  of  $A$  of rank  $n$  that is a direct summand of  $A$ . Moreover,  $A$  is a free module over  $S$ . (Such  $S$  is called a **maximal étale subalgebra** of  $A$ .)*
- (3) *The subalgebra  $S$  as above splits  $A$ .*

*Proof.* (1) We first remark that for any two isomorphisms  $\varphi_1, \varphi_2: A \otimes_R S \simeq M_n(S)$  of  $S$ -algebras,  $\varphi_1(a \otimes 1)$  and  $\varphi_2(a \otimes 1)$  have the same characteristic polynomial. In fact, for any maximal ideal  $\mathfrak{n}$  of  $S$  ( $S$  is not necessarily local), the Skolem-Noether theorem implies that there is  $u \in \text{GL}_n(S_{\mathfrak{n}})$  such that  $\varphi_2(a \otimes 1) = u^{-1}\varphi_1(a \otimes 1)u$ . So two characteristic polynomials have the same image in  $S_{\mathfrak{n}}[X]$  for all  $\mathfrak{n} \in \text{Spm}(S)$ . This proves the remark.

Now let  $T/R$  be another faithfully flat ring extension such that there is a  $\varphi_T: A \otimes_R T \xrightarrow{\sim} M_n(T)$ . Consider the commutative diagram of  $(S \otimes_R T)$ -algebras

$$\begin{array}{ccc} (A \otimes_R S) \otimes_R T & \xrightarrow{\varphi_S \otimes \text{Id}_T} & M_n(S) \otimes_R T = M_n(S \otimes_R T) \\ \downarrow & & \downarrow \\ S \otimes_R (A \otimes_R T) & \xrightarrow{\text{Id}_S \otimes \varphi_T} & S \otimes_R M_n(T) = M_n(S \otimes_R T) \end{array}$$

where the left vertical map is  $(a \otimes s) \otimes t \mapsto s \otimes (a \otimes t)$ , and the right vertical map is the unique isomorphism defined by the rest of the diagram.

Let  $S = T$  then by the above remark,  $\varphi_S(a \otimes 1_S) \otimes 1_S, 1_S \otimes \varphi_T(a \otimes 1_S) \in M_n(S \otimes_R T)$  have the same characteristic polynomial. In other words,

$$1_{S[X]} \otimes ch_{\varphi_S(a \otimes 1_S)}(X) - ch_{\varphi_S(a \otimes 1_S)}(X) \otimes 1_{S[X]} = 0.$$

Take  $M = R[X]$  in the Amitsur complex (AC1), we get the exact sequence

$$0 \rightarrow R[X] \rightarrow S[X] \rightarrow S[X] \otimes_{R[X]} S[X] \rightarrow \cdots$$

So  $ch_{\varphi_S(a \otimes 1_S)}(X) \in \ker(1 \otimes d^0) = R[X]$ , i.e. the characteristic polynomial is defined over  $R$ .

Now let  $S, T$  be any two faithfully flat splitting extension of  $R$ . Then  $S \otimes_R T$  is also a faithfully flat algebra over  $R$ . We have the following Amitsur complex

$$0 \rightarrow R[X] \rightarrow (S \otimes_R T)[X] \rightarrow (S \otimes_R T)[X] \otimes_{R[X]} (S \otimes_R T)[X] \rightarrow \cdots$$

Since  $(\varphi_S(a \otimes 1_S)) \otimes 1_T$  and  $1_S \otimes (\varphi_T(a \otimes 1_T))$  have the same characteristic polynomial, due to the exactness at  $(S \otimes_R T)[X]$ , they come from the same element in  $R[X]$ .

Finally, let  $S/R$  be a faithfully flat splitting extension, then  $A \rightarrow A \otimes_R S$  is injective. Thus  $ch_a(a) \mapsto ch_a(a \otimes 1) = 0$ , which implies that  $ch_a(a) = 0$ .

(2) We can choose  $a \in A$  such that  $\alpha = \bar{a}$ , so  $k(\bar{a}) = k(\alpha)$  is a splitting ring of  $\bar{A}$  as Proposition 6.13.

Let  $S = R[X]/(ch_a(X))$ , this is an étale algebra over  $R$  of rank  $n$  there is a canonical map  $S \rightarrow A; \bar{X} \mapsto a$ . As  $S \otimes_R k \xrightarrow{\sim} k[\bar{a}] \hookrightarrow \bar{A}$  is injective, it follows from a standard lemma below that  $S \rightarrow A$  is injective and  $S$  is a direct summand of  $A$ .

**Easy lemma.** Let  $\varphi: M \rightarrow N$  be a homomorphism of two finitely generated  $R$ -modules with  $N$ -free. If  $\bar{\varphi} = \varphi \otimes 1$  is injective, then  $\varphi$  has a section, in particular it is injective. Moreover, if  $\bar{\varphi}$  is an isomorphism, so is  $\varphi$ .

In fact, let  $\varphi': N \rightarrow M$  such that  $\bar{\varphi}'\bar{\varphi} = \text{Id}_{\bar{M}}$ . Let  $\psi = \varphi'\varphi$ , then Nakayama's lemma implies that  $\psi$  is surjective. Regard  $M$  as an  $R[X]$ -module via  $X \cdot m = \psi(m)$ . Then by Nakayama's lemma, there is  $f(X) \in R[X]$  such that  $(1 - \psi f(\psi))M = 0$ . So  $f(\psi)\varphi'$  is a left inverse of  $\varphi$ .

In fact, we can show that  $A$  is a free module over  $S$ . We need the following

**Lemma.** Let  $S$  be a semilocal ring, i.e. a commutative ring with finitely many maximal ideals, then any finitely generated projective  $S$ -module  $M$  of constant rank is free.

We just need to mimic the proof of the fact “projective modules over local rings are free” to prove this Lemma (use Nakayama’s lemma). Since  $A/R$  is projective,  $S/R$  separable (modulo  $\mathfrak{m}$  and use the Proposition 6.13), so  $A/S$  is projective. In our situation,  $S \otimes_R k = S/\mathfrak{m}S = k(\alpha)$  is isomorphic to  $P^r$ , thus  $S$  has at most finitely many maximal ideals. For very  $\mathfrak{n} \in \text{Spm}(S)$ ,  $A/\mathfrak{n}A$  is free over  $S/\mathfrak{n}$  of rank  $n$ , i.e.  $A$  is of constant rank.

(3) Let  $S$  as in (2), view  $A$  as a right  $S$ -module. Consider the map

$$\alpha: A \otimes_R S \rightarrow \text{End}_S(A); a_0 \otimes s \mapsto (x \mapsto a_0 x s).$$

Modulo  $\mathfrak{m}$ , we get

$$\alpha_{\mathfrak{m}}: A \otimes_k S/\mathfrak{m} \rightarrow \text{End}_{S/\mathfrak{m}}(A \otimes_k S/\mathfrak{m}),$$

this is an isomorphism by theory of Central Simple Algebras over fields (since  $S \otimes_R K$  is a splitting ring of  $\bar{A}$ ). Since both  $A \otimes_R S$  and  $\text{End}_S(A)$  are finitely generated over  $S$  and since  $A$  is free over  $S$ , so is  $\text{End}_S(A)$ . Thus the previous lemma tells us  $\alpha$  is an isomorphism.  $\square$

## 7. THE BRAUER GROUP OVER A SCHEME, A BRIEF INTRODUCTION

Let  $X$  be a local Notherian scheme. An  $\mathcal{O}_X$ -algebra  $\mathcal{A}$  is called an Azumaya algebra over  $X$  if it is coherent as an  $\mathcal{O}_X$ -module and if, for every closed point  $x$  of  $X$ ,  $\mathcal{A}_x$  is an Azumaya algebra over the local ring  $\mathcal{O}_{X,x}$ . The condition imply that  $\mathcal{A}$  is locally free of finite rank as an  $\mathcal{O}_X$ -module. We also have for *every* point  $x$  of  $X$ ,  $\mathcal{A}_x$  is an Azumaya algebra over  $\mathcal{O}_{X,x}$ . To see this, consider the affine case  $X = \text{Spec}(R)$ , take any prime ideal  $\mathfrak{p} \in X$  and take a maximal ideal  $\mathfrak{m}$  containing  $\mathfrak{p}$ . There is a natural map  $R_{\mathfrak{m}} \rightarrow R_{\mathfrak{p}}, a/t \mapsto a/t$ . Then using standard commutative algebra, we get

$$A_{\mathfrak{p}} = A_{\mathfrak{m}} \otimes_{R_{\mathfrak{m}}} R_{\mathfrak{p}}.$$

By the functoriality of  $\text{Br}(\cdot)$ , we see that  $A_{\mathfrak{p}}$  is an Azumaya algebra over  $\mathcal{O}_{X,\mathfrak{p}} = R_{\mathfrak{p}}$ .

There are several equivalent descriptions of Azumaya algebra over  $X$ .

**Theorem 7.1.** *Let  $\mathcal{A}$  be an  $\mathcal{O}_X$ -algebra which is of finite type as an  $\mathcal{O}_X$ -module. Then the following are equivalent.*

- (1)  $\mathcal{A}$  is an Azumaya algebra over  $X$ .
- (2)  $\mathcal{A}$  is locally free as an  $\mathcal{O}_X$ -module and for all  $x \in X$ ,  $\mathcal{A}(x) := \mathcal{A}_x \otimes_{\mathcal{O}_{X,x}} \kappa(x)$  is a Central Simple Algebra over  $\kappa(x)$ .
- (3)  $\mathcal{A}$  is locally free as an  $\mathcal{O}_X$ -module and the enveloping homomorphism  $\psi: \mathcal{A} \otimes_{\mathcal{O}_X} \mathcal{A}^{\circ} \rightarrow \underline{\text{End}}_{\mathcal{O}_X}(\mathcal{A})$  is an isomorphism.
- (4) There is a covering  $(U_i \rightarrow X)$  for the étale topology on  $X$  such that for each  $i$ , there exists an  $r_i \in \mathbb{N}$ ,  $\mathcal{A} \otimes_{\mathcal{O}_X} \mathcal{O}_{U_i} \simeq \mathcal{M}_{r_i}(\mathcal{O}_{U_i})$ .
- (5) Same thing holds as above when replacing “étale topology” by “flat topology”.

In particular, if  $X = \text{Spec}(R)$ , then any Azumaya algebra over  $X$  has shape  $\tilde{A}$  for some Azumaya algebra  $A$  over  $R$  (as defined in Section 1).

**Artin’s Question.** Suppose  $X$  is proper over  $\text{Spec}\mathbb{Z}$ , is  $\text{Br}(X)$  finite?

If  $\dim X = 1$ , the class field theory gives the positive response. But this question is open even for a surface over a finite field. Known situation: for all  $K3$  surfaces, the answer is yes.

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